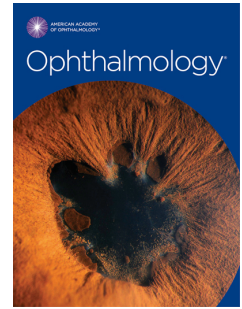


# Journal Pre-proof



Idiopathic Macular Hole Preferred Practice Pattern®

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## Idiopathic Macular Hole Preferred Practice Pattern®

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**Elsevier to replace this cover page with the color PDF.  
Elsevier to renumber the pages and Table of Contents, as necessary.**

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Preferred Practice Pattern® guidelines are developed by the Academy’s H. Dunbar Hoskins Jr., MD Center for Quality Eye Care without any external financial support. Authors and reviewers of the guidelines are volunteers and do not receive any financial compensation for their contributions to the documents. The guidelines are externally reviewed by experts and stakeholders before publication.

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# RETINA/VITREOUS PREFERRED PRACTICE PATTERN® DEVELOPMENT PROCESS AND PARTICIPANTS

The **Retina/Vitreous Preferred Practice Pattern® Panel** members wrote the Idiopathic Macular Hole Preferred Practice Pattern® (“PPP”) guidelines. The PPP Panel members discussed and reviewed successive drafts of the document, meeting in person twice and conducting other review by e-mail discussion, to develop a consensus over the final version of the document.

## **Retina/Vitreous Preferred Practice Pattern Panel 2018–2019**

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*We thank our partners, the Cochrane Eyes and Vision US Satellite (CEV@US), for identifying reliable systematic reviews that we cite and discuss in support of the PPP recommendations.*

**The Preferred Practice Patterns Committee members reviewed and discussed the document during a meeting in June 2019. The document was edited in response to the discussion and comments.**

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The Idiopathic Macular Hole PPP was then sent for review to additional internal and external groups and individuals in July 2019. All those returning comments were required to provide disclosure of relevant relationships with industry to have their comments considered (indicated with an asterisk below). Members of the Retina/Vitreous Preferred Practice Pattern Panel reviewed and discussed these comments and determined revisions to the document.

## FINANCIAL DISCLOSURES

In compliance with the Council of Medical Specialty Societies’ Code for Interactions with Companies (available at [www.cmss.org/codeforinteractions.aspx](http://www.cmss.org/codeforinteractions.aspx)), relevant relationships with industry are listed. The Academy has Relationship with Industry Procedures to comply with the Code (available at <http://one.aaopt.org/CE/PracticeGuidelines/PPP.aspx>). A majority (88%) of the members of the Retina/Vitreous Preferred Practice Pattern Panel 2018–2019 had no financial relationship to disclose.

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The disclosures of relevant relationships to industry of other reviewers of the document from January to October 2019 are available online at [www.aaopt.org/ppp](http://www.aaopt.org/ppp).

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## OBJECTIVES OF PREFERRED PRACTICE PATTERN® GUIDELINES

As a service to its members and the public, the American Academy of Ophthalmology has developed a series of Preferred Practice Pattern® guidelines that **identify characteristics and components of quality eye care**. Appendix 1 describes the core criteria of quality eye care.

The Preferred Practice Pattern® guidelines are based on the best available scientific data as interpreted by panels of knowledgeable health professionals. In some instances, such as when results of carefully conducted clinical trials are available, the data are particularly persuasive and provide clear guidance. In other instances, the panels have to rely on their collective judgment and evaluation of available evidence.

**These documents provide guidance for the pattern of practice, not for the care of a particular individual.** While they should generally meet the needs of most patients, they cannot possibly best meet the needs of all patients. Adherence to these PPPs will not ensure a successful outcome in every situation. These practice patterns should not be deemed inclusive of all proper methods of care or exclusive of other methods of care reasonably directed at obtaining the best results. It may be necessary to approach different patients’ needs in different ways. The physician must make the ultimate judgment about the propriety of the care of a particular patient in light of all of the circumstances presented by that patient. The American Academy of Ophthalmology is available to assist members in resolving ethical dilemmas that arise in the course of ophthalmic practice.

**Preferred Practice Pattern® guidelines are not medical standards to be adhered to in all individual situations.** The Academy specifically disclaims any and all liability for injury or other damages of any kind, from negligence or otherwise, for any and all claims that may arise out of the use of any recommendations or other information contained herein.

References to certain drugs, instruments, and other products are made for illustrative purposes only and are not intended to constitute an endorsement of such. Such material may include information on applications that are not considered community standard, that reflect indications not included in approved US Food and Drug Administration (FDA) labeling, or that are approved for use only in restricted research settings. The FDA has stated that it is the responsibility of the physician to determine the FDA status of each drug or device he or she wishes to use, and to use them with appropriate patient consent in compliance with applicable law.

Innovation in medicine is essential to ensure the future health of the American public, and the Academy encourages the development of new diagnostic and therapeutic methods that will improve eye care. It is essential to recognize that true medical excellence is achieved only when the patients’ needs are the foremost consideration.

All Preferred Practice Pattern® guidelines are reviewed by their parent panel annually or earlier if developments warrant and updated accordingly. To ensure that all PPPs are current, each is valid for 5 years from the approved by date unless superseded by a revision. Preferred Practice Pattern guidelines are funded by the Academy without commercial support. Authors and reviewers of PPPs are volunteers and do not receive any financial compensation for their contributions to the documents. The PPPs are externally reviewed by experts and stakeholders, including consumer representatives, before publication. The PPPs are developed in compliance with the Council of Medical Specialty Societies’ Code for Interactions with Companies. The Academy has Relationship with Industry Procedures (available at [www.aao.org/about-preferred-practice-patterns](http://www.aao.org/about-preferred-practice-patterns)) to comply with the Code.

The intended users of the Idiopathic Macular Hole PPP are ophthalmologists.

## METHODS AND KEY TO RATINGS

Preferred Practice Pattern® guidelines should be clinically relevant and specific enough to provide useful information to practitioners. Where evidence exists to support a recommendation for care, the recommendation should be given an explicit rating that shows the strength of evidence. To accomplish these aims, methods from the Scottish Intercollegiate Guideline Network<sup>1</sup> (SIGN) and the Grading of Recommendations Assessment, Development and Evaluation<sup>2</sup> (GRADE) group are used. GRADE is a systematic approach to grading the strength of the total body of evidence that is available to support recommendations on a specific clinical management issue. Organizations that have adopted GRADE include SIGN, the World Health Organization, the Agency for Healthcare Research and Policy, and the American College of Physicians.<sup>3</sup>

- ◆ All studies used to form a recommendation for care are graded for strength of evidence individually, and that grade is listed with the study citation.
- ◆ To rate individual studies, a scale based on SIGN<sup>1</sup> is used. The definitions and levels of evidence to rate individual studies are as follows:

I++	High-quality meta-analyses, systematic reviews of randomized controlled trials (RCTs), or RCTs with a very low risk of bias
I+	Well-conducted meta-analyses, systematic reviews of RCTs, or RCTs with a low risk of bias
I-	Meta-analyses, systematic reviews of RCTs, or RCTs with a high risk of bias
II++	High-quality systematic reviews of case-control or cohort studies High-quality case-control or cohort studies with a very low risk of confounding or bias and a high probability that the relationship is causal
II+	Well-conducted case-control or cohort studies with a low risk of confounding or bias and a moderate probability that the relationship is causal
II-	Case-control or cohort studies with a high risk of confounding or bias and a significant risk that the relationship is not causal
III	Nonanalytic studies (e.g., case reports, case series)

- ◆ Recommendations for care are formed based on the body of the evidence. The body of evidence quality ratings are defined by GRADE<sup>2</sup> as follows:

Good quality	Further research is very unlikely to change our confidence in the estimate of effect
Moderate quality	Further research is likely to have an important impact on our confidence in the estimate of effect and may change the estimate
Insufficient quality	Further research is very likely to have an important impact on our confidence in the estimate of effect and is likely to change the estimate Any estimate of effect is very uncertain

- ◆ Key recommendations for care are defined by GRADE<sup>2</sup> as follows:

Strong recommendation	Used when the desirable effects of an intervention clearly outweigh the undesirable effects or clearly do not
Discretionary recommendation	Used when the trade-offs are less certain—either because of low-quality evidence or because evidence suggests that desirable and undesirable effects are closely balanced

- ◆ The Highlighted Findings and Recommendations for Care section lists points determined by the PPP Panel to be of particular importance to vision and quality of life outcomes.
- ◆ All recommendations for care in this PPP were rated using the system described above. Ratings are embedded throughout the PPP main text in italics.
- ◆ Literature searches to update the PPP were undertaken in April 2018 and June 2019 in PubMed and the Cochrane Library. Complete details of the literature searches are available online at [www.aao.org/ppp](http://www.aao.org/ppp).



## HIGHLIGHTED FINDINGS AND RECOMMENDATIONS FOR CARE

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Macular holes are more common in females than in males and usually occur after age 55. There is a high rate of macular hole formation in the fellow eye (10%-15%) in the 5-year period after a macular hole occurs in the first eye.

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Patients with vitreous traction and no macular hole (stage 1-A or 1-B) should be observed without treatment, because they often remain stable or even improve. Currently, there is no evidence that treatment improves the prognosis.

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Most patients with stage 2 to 4 macular holes will have a poor prognosis without treatment. The visual prognosis is good following successful macular hole closure. The benefits of treatment designed to achieve macular hole closure should be discussed.

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Studies report that approximately 90% of recent macular holes that are  $\leq 400$   $\mu\text{m}$  can be closed with vitrectomy surgery.

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The early detection of a macular hole is associated with both a higher closure rate after vitrectomy surgery as well as better postoperative visual acuity.

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Careful removal of the internal limiting membrane (ILM) during vitrectomy surgery increases the macular hole closure rate without adversely affecting the visual acuity.

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Cataract is a frequent complication of vitrectomy surgery to repair macular holes. This risk should be discussed with patients preoperatively, and postoperative monitoring is advised.

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## INTRODUCTION

### 1 DISEASE DEFINITION

2 A macular hole is a discontinuity of the neurosensory retina, located at the fovea.

### 3 PATIENT POPULATION

4 The patient population consists of adults often 55 years of age or older, most of whom are women,  
5 who have idiopathic macular holes.

### 6 CLINICAL OBJECTIVES

- 7 ◆ Identify patients at risk for macular hole
- 8 ◆ Educate high-risk patients about the reason for periodic monocular self-assessment and follow-up  
9 examination, the symptoms of a macular hole, and the need to return promptly should symptoms occur
- 10 ◆ Follow patients who are at risk for vision loss from macular hole
- 11 ◆ Inform patients of the risks and benefits of the treatment options for macular hole
- 12 ◆ Optimize recovery of visual function

13

## BACKGROUND

14 A macular hole is an anatomic discontinuity of the neurosensory retina that develops in the center of the  
15 macula or fovea. Typically, the patient will experience metamorphopsia and decreased visual acuity, which  
16 may progress to a central scotoma as the macular hole enlarges.<sup>4,5</sup> Most investigators believe that macular  
17 holes are caused by pathologic vitreoretinal traction at the fovea. Uncontrolled series also suggest that trauma  
18 may be responsible for a minority of macular hole cases.<sup>6,7</sup> It is important to differentiate a full-thickness  
19 macular hole (FTMH) from a lamellar macular hole, which is a partial-thickness defect in the neurosensory  
20 retina. Another macular abnormality that can simulate an FTMH on clinical examination is a macular  
21 pseudohole, a circular or oval configuration of the foveal depression that can result in perifoveal fraction  
22 from an epiretinal membrane. A pseudohole has no retinal defect but can give the false clinical appearance of  
23 an FTMH.

### 24 EPIDEMIOLOGY

25 The Beijing Eye Study is a population-based cross-sectional study of 4346 subjects aged 40 or older,  
26 that found an FTMH in eight eyes of seven subjects, which corresponds to a prevalence of 1.6 per  
27 1000 Chinese people having a macular hole in this age range.<sup>8</sup> Another population-based cross-  
28 sectional study in rural India of 4542 people aged 30 or older found a macular hole in 18 eyes of 13  
29 subjects, which corresponds to a prevalence of 2.7 per 1000 people having a macular hole in this age

1 range.<sup>9</sup> In the United States, a population-based retrospective study of the largely Caucasian residents  
2 (>90%) of Olmsted County, Minnesota, estimated the age- and sex-adjusted incidence of macular  
3 holes to be 7.8 people and 8.7 eyes per 100,000 people (all ages) per year.<sup>10</sup> In a case-control study,  
4 the majority (72%) of idiopathic macular holes occurred in women; more than 50% of holes were  
5 found in individuals 65 to 74 years of age and only 3% in those under the age of 55.<sup>11</sup> The 5-year risk  
6 of a patient with an FTMH of developing an FTMH in the fellow eye was approximately 10% to  
7 15%.<sup>12-18</sup> Fellow eyes with a complete posterior vitreous detachment have a lower risk of developing  
8 an FTMH. In one study, it was observed that no fellow eye with a complete posterior vitreous  
9 detachment developed an FTMH during a median follow-up period of 33 months (range, 9--99  
10 months).<sup>15</sup>

## 11 NATURAL HISTORY

12 The formation of a macular hole typically evolves over a period of weeks to months through the  
13 clinically defined stages first described by Gass,<sup>19</sup> although some macular holes may develop more  
14 rapidly. In both cases, macular holes are frequently detected when the patient’s symptoms change  
15 relatively abruptly.<sup>19,20</sup> The anatomic findings from optical coherence tomography (OCT) support  
16 Gass’ original observations, and an updated classification of the stages of development of FTMH is  
17 described in Table 1.

18 Importantly, a full-thickness retinal defect is not present in stages 1-A and 1-B. Therefore, these  
19 stages may be better classified as impending macular holes.

TABLE 1 CLINICAL STAGES AND CHARACTERISTICS OF MACULAR HOLES

Stage*	Characteristics
1-A (impending)	<ul style="list-style-type: none"> <li>• Loss of the foveal depression and a yellowish foveal spot (100–200 <math>\mu\text{m}</math> in diameter)</li> <li>• Localized shallow detachment of the perifoveal vitreous cortex with persistent adherence to the foveola</li> <li>• Vitreofoveolar traction may horizontally separate (split) the retina at the fovea (pseudocyst) that corresponds to the yellow spot<sup>21</sup></li> <li>• Epiretinal membranes are uncommon</li> <li>• Visual acuity ranges from 20/25 to 20/80</li> <li>• Surgical intervention is not recommended</li> </ul>
1-B (impending)	<ul style="list-style-type: none"> <li>• Yellow ring 200–350 <math>\mu\text{m}</math> in diameter</li> <li>• Posterior extension of the pseudocyst with disruption of the outer retinal layer<sup>21-23</sup></li> <li>• The retinal roof remains intact with persistent adherence of the posterior hyaloid to the retina<sup>21-23</sup></li> <li>• Epiretinal membranes are uncommon</li> <li>• Visual acuity ranges from 20/25 to 20/80</li> <li>• Surgical intervention is not recommended</li> </ul>
2	<ul style="list-style-type: none"> <li>• Small full-thickness (&lt;400 <math>\mu\text{m}</math> in diameter) retinal defect, often eccentric</li> <li>• Epiretinal membranes are uncommon</li> <li>• Visual symptoms include metamorphopsia and decreased vision</li> <li>• Visual acuity 20/25 to 20/80</li> </ul>
3	<ul style="list-style-type: none"> <li>• Full-thickness hole <math>\geq 400</math> <math>\mu\text{m}</math> in diameter</li> <li>• The posterior hyaloid is separated from the macula but may remain attached at the optic disc and be attached more peripherally<sup>21</sup></li> <li>• An operculum or a flap is present on the posterior hyaloid over the hole and is visible clinically or by means of optical coherence tomography</li> <li>• A cuff of subretinal fluid may be detected along with intraretinal edema and cysts</li> <li>• Drusen-like deposits** may be occasionally seen in the base of the hole</li> <li>• A rim of retinal pigment epithelium hyper/hypopigmentation is often present at the junction between edematous or detached retina and normal-appearing attached retina in long-standing cases<sup>24</sup></li> <li>• Epiretinal membranes may be present</li> <li>• Visual acuity usually ranges from 20/100 to 20/400<sup>17,24</sup></li> </ul>
4	<ul style="list-style-type: none"> <li>• A full-thickness hole with a diameter usually larger than stage 3 (&gt;400 <math>\mu\text{m}</math> in diameter)</li> <li>• A complete posterior vitreous detachment with a Weiss ring<sup>20,23</sup></li> <li>• A cuff of subretinal fluid, intraretinal edema, and cystoid changes are usually present</li> <li>• Drusen-like deposits* may be occasionally seen in the base of the hole</li> <li>• Epiretinal membranes are more frequent<sup>25</sup></li> <li>• Visual acuity is more profoundly affected to 20/100 to 20/400<sup>17,24</sup></li> </ul>

\* For images of macular hole and abnormalities, please visit <https://www.aao.org/image/macular-hole-abnormalities>

\*\* Drusen-like or yellow deposits may represent macrophages at the level of the retinal pigment epithelium, suggesting chronicity of disease.

1 Evidence provided by OCT,<sup>21,22,26-29</sup> retinal thickness analyzer,<sup>30</sup> scanning laser ophthalmoscopy,<sup>31</sup>  
2 and observations made during vitrectomy<sup>32,33</sup> suggests that vitreomacular traction (VMT) or  
3 vitreomacular adhesion (VMA) is likely responsible for a stage 1-A hole. Some impending holes may  
4 resolve spontaneously and completely,<sup>34,35</sup> while a few may evolve into lamellar or partial-thickness  
5 holes. About to 40% to 50% of pseudocysts characteristic of impending holes may progress over a  
6 period of weeks to months to an FTMH, often passing from stage 1-A through stage 1-B.<sup>34,36</sup>  
7 Approximately 75% of stage 2 macular holes progress to stage 3 or stage 4 macular holes.<sup>16,36-39</sup>  
8 The prognosis of untreated FTMHs is poor. Only 5% will have 20/50 visual acuity or better,  
9 approximately 55% will have visual acuity of 20/100 or better, and 40% will have visual acuity of  
10 20/200 or worse.<sup>14,17,24,40,41</sup> Sixty percent of eyes with an FTMH lose 2 or more lines of vision over 5  
11 years of follow-up.<sup>17,40</sup> After a follow-up of 3 to 5 years, 70% to 80% of eyes will have 20/200 or  
12 worse visual acuity, and the visual acuity in the remaining 20% to 30% will usually be 20/70 to  
13 20/100.<sup>14,24,40,41</sup> In about 3% to 11% of cases, an FTMH will close spontaneously.<sup>16,17,42-44</sup> If the hole  
14 closes spontaneously, the visual acuity may recover dramatically. The vast majority of eyes with  
15 untreated macular holes deteriorates to the 20/100 to 20/400 range and then stabilizes with good  
16 peripheral vision.

## CARE PROCESS

### 18 PATIENT OUTCOME CRITERIA

19 Patient outcome criteria include the following:

- 20 ◆ Prevention of visual loss and functional impairment
- 21 ◆ Improvement of visual function
- 22 ◆ Maintenance or improvement of quality of life

### 23 DIAGNOSIS

24 The initial evaluation of a patient with symptoms and signs suggestive of macular hole includes all  
25 features of a comprehensive adult medical eye evaluation, with particular attention to those aspects  
26 relevant to macular hole.<sup>45</sup> Conditions often mistaken for the various stages of macular hole include  
27 cystoid macular edema, central serous retinopathy, a subfoveal druse, lamellar macular hole, epiretinal  
28 membrane with pseudohole, and solar maculopathy.<sup>46-48</sup>

#### 29 History

30 A complete history includes the following elements, although the exact composition varies  
31 according to the patient's particular symptomatology and specific needs.

- 32 ◆ Duration of symptoms

- 1           ◆ Ocular history: glaucoma, retinal detachment or tear, other eye disease, eye or head injuries,  
2           ocular surgery, or sun or eclipse gazing or use of a laser pointer or other type of laser  
3           ◆ Medication use that may be related to macular cystoid edema (e.g., systemic niacin, topical  
4           prostaglandin analogues, tamoxifen)

#### 5           Examination

6           Examination includes the following elements:

- 7           ◆ Slit-lamp biomicroscopy of the macula and vitreoretinal interface  
8           ◆ An indirect peripheral retinal examination  
9           ◆ Amsler grid test and/or Watzke-Allen test

#### 10          Ancillary Tests

11          Optical coherence tomography is extremely helpful and offers detailed information about the  
12          macular anatomy size of the macular hole if an FTMH is present, and presence of any VMT or  
13          an epiretinal membrane. This information aids in the diagnosis, staging, and follow-up.<sup>49,50</sup>  
14          Optical coherence tomography images are also helpful with patient education. However,  
15          FTMHs are often readily apparent with slit-lamp biomicroscopy of the fundus.

### 16          MANAGEMENT

#### 17          Prevention and Early Detection

18          At this time, there is no known prevention for the development of an idiopathic macular hole.  
19          The initial evaluation should include a careful assessment of the fellow eye. Fellow eyes are at  
20          higher risk of developing a macular hole when a definite posterior vitreous detachment cannot  
21          be confirmed. Early detection of a macular hole and intervention with vitrectomy surgery is  
22          associated with both a higher macular hole closure rate after vitrectomy surgery as well as  
23          better postoperative visual acuity, perhaps because of the smaller size of the hole and a more  
24          limited duration of compromise to the macula. For these reasons, it is important to diagnose a  
25          macular hole in the fellow eye as soon as possible. Thus, patients should be educated about  
26          early warning signs such as metamorphopsia or any changes in central vision. An OCT image  
27          of the macula of the fellow eye may also help to identify at-risk eyes, evident by the presence of  
28          vitreous traction at or near the center of the macula.

#### 29          Early Stages

30          Some people with stage 1-A or 1-B macular holes have foveal cysts that may resolve  
31          completely without treatment.<sup>34,35</sup> One study reported that patients with foveal cysts can remain  
32          stable with good vision for up to 5 years.<sup>16</sup> The visual acuity of patients with stage 1 macular  
33          hole (i.e. impending macular hole) may improve spontaneously when the posterior vitreous

1 detaches from the central macula. Most patients who present with good central visual acuity can  
 2 be followed and asked to return promptly if symptoms worsen.<sup>34</sup> Although stage 1-A and early  
 3 stage 1-B lesions have been referred to as early or impending macular holes, only about 50%  
 4 progress to an FTMH from persistent VMT.<sup>36</sup> When the vitreous attachment spontaneously  
 5 separates from the fovea in the other 50%, the appearance of the fovea either returns to normal  
 6 or appears as a reddish spot and there is often a rapid improvement in visual symptoms.<sup>19,34,51</sup>  
 7 Vitrectomy surgery to prevent an FTMH has been explored and has been shown to have no  
 8 effect on the rate of progression to an FTMH.<sup>34</sup>

### 9 Later Stages

10 When the macular hole progresses beyond stage 2, further vision loss will occur if the patient  
 11 does not receive treatment. Moreover, as the macular hole enlarges, epiretinal membranes may  
 12 develop and the success rate of macular hole closure with vitrectomy surgery may decrease.<sup>52</sup> A  
 13 Cochrane systematic review and meta-analysis by Parravano in 2015 has demonstrated the  
 14 benefit of vitrectomy on improving visual acuity outcomes and increasing macular hole closure  
 15 rates.<sup>53</sup> (*I+, Moderate quality, Strong recommendation*)

16 Table 2 delineates management recommendations for each of the stages of macular hole.  
 17

TABLE 2 MANAGEMENT FOR MACULAR HOLE

Stage	Management	Follow-up
1-A and 1-B	Observation <sup>34</sup>	<ul style="list-style-type: none"> <li>Follow up at 2– to 4-month intervals in the absence of new visual symptoms</li> <li>Recommend prompt return if new visual symptoms develop</li> <li>Encourage monocular vision testing with Amsler grid</li> </ul>
2	Pneumatic Vitreolysis* <sup>54,55</sup>	<ul style="list-style-type: none"> <li>Performed usually within 1 to 2 weeks of diagnosis</li> <li>Follow up at 1-2 days, then 1 week or sooner if new visual symptoms</li> <li>Frequency and timing of subsequent visits varies depending on the outcome of surgery and the patient’s clinical course</li> </ul>
2	Vitreoretinal surgery <sup>39,43</sup> †	<ul style="list-style-type: none"> <li>Performed usually within 1 month of diagnosis to minimize risk of progression of macular hole and vision loss</li> <li>Routine postoperative follow-up at 1–2 days, then 1–2 weeks during which time strict face down positioning is advised</li> <li>Frequency and timing of subsequent postoperative visits varies depending on the outcome of surgery and the patient’s clinical course</li> </ul>
2	Vitreopharmacolysis <sup>†</sup>	<ul style="list-style-type: none"> <li>Performed usually within 1 to 2 weeks of diagnosis</li> <li>Follow-up at 1 week and 4 weeks, or with new symptoms (i.e., retinal detachment symptoms)</li> </ul>
3 or 4	Vitreoretinal surgery <sup>39,43</sup>	<ul style="list-style-type: none"> <li>Performed usually within 1 month of diagnosis</li> <li>Postoperative follow-up at 1–2 days, then 1–2 weeks during which time strict face down positioning if advised</li> <li>Frequency and timing of subsequent visits varies depending on the outcome of surgery and the patient’s clinical course</li> </ul>

18 \* Several small case series have shown promising results with this technique for smaller holes

19 † Although surgery is usually performed, observation may also be appropriate in selected cases.

- 1 † Ocriplasmin has been approved by the U.S. Food and Drug Administration for symptomatic vitreomacular adhesion. There is no
- 2 evidence to support its use for treatment of idiopathic macular hole without vitreomacular traction or adhesion, and this would be
- 3 considered off-label use.
- 4
- 5

Journal Pre-proof



## 1 Surgical Management

### 2 Preoperative Discussion

3 The preoperative discussion should include the following information:

- 4 ◆ The natural history of most eyes with an untreated macular hole is progressive loss of  
5 central vision resulting in visual acuity in the 20/200 to 20/400 range. The peripheral vision  
6 is usually unaffected. Delays in repair of macular hole may result in reduced success of  
7 hole closure and visual benefit.
- 8 ◆ Phaco-vitrectomy could be considered.<sup>56</sup>
- 9 ◆ The risk of developing a macular hole in the fellow eye if the vitreous is attached to the  
10 macula is 10% to 15%; the risk is lower if the vitreous appears detached.
- 11 ◆ There is a remote chance for spontaneous macular hole closure. If this happens, there may  
12 be visual gain depending on the duration and size of the macular hole.
- 13 ◆ Vision does not typically return to “normal” even after successful hole closure.
- 14 ◆ The option to use intravitreal ocriplasmin or expansile gas to treat a macular hole should be  
15 discussed if the eye has an associated VMT. The discussion should include detailed risks  
16 and benefits for each option relative to vitrectomy surgery and continued  
17 observation.<sup>57,58</sup> The expected visual outcome of successful hole closure should also be  
18 discussed, including residual visual blur and metamorphopsia that will likely persist after  
19 hole closure.

### 20 Vitrectomy

21 For surgery, the discussion should include the following:

- 22 ◆ The type of anesthesia required. (Usually, monitored anesthesia care is provided with a  
23 local anesthetic.) Macular hole surgery can be performed under general anesthesia for  
24 anxious or claustrophobic patients.
- 25 ◆ The use of nitrous oxide gas. It should be avoided at least during the last 10 minutes of the  
26 air fluid exchange when general anesthesia is used because it may result in an  
27 unpredictable gas fill postoperatively.
- 28 ◆ The risks (e.g., cataract, retinal tears) versus benefits of vitrectomy surgery.
- 29 ◆ The role of positioning postoperatively. Detailed instructions about positioning  
30 postoperatively to tamponade the hole and minimize the risk of developing a cataract in  
31 phakic eyes should be discussed prior to scheduling surgery. Information can be given  
32 about equipment that can be rented and purchased for postoperative positioning.
- 33 ◆ The possibility of an increase in postoperative intraocular pressure (IOP). The surgeon  
34 should inform patients about this possibility. In order to minimize the risk, patients should  
35 be advised about the importance of maintaining their scheduled postoperative examination

1 visits and avoiding travel to higher altitudes, especially above 2000 feet altitude. Severe  
2 and sustained elevations in IOP can result in permanent vision loss, especially in patients  
3 with glaucoma. The surgeon is responsible for formulating a postoperative care plan and  
4 should inform the patient of these arrangements.<sup>57,58</sup>

#### 5 Detaching the Posterior Vitreous

6 An important anatomic goal of the pars plana vitrectomy (PPV) for macular hole closure is  
7 to separate the posterior cortical hyaloid from the retinal surface of the macula. Various  
8 surgeons have individual preferences or techniques to accomplish the surgical objectives.  
9 Triamcinolone acetonide can be injected into the vitreous following a core vitrectomy to  
10 highlight the posterior vitreous. Iatrogenic retinal breaks may develop in eyes with macular  
11 holes, often during the creation of a posterior vitreous detachment.<sup>59</sup> Thus, an intra-  
12 operative examination of the peripheral retina for breaks or tears should be performed  
13 intraoperatively prior to air-fluid exchange to minimize the risk of postoperative retinal  
14 detachment.

#### 15 Internal Limiting Membrane Removal and Dyes

16 Another unsettled controversy is the value of removing the internal limiting membrane  
17 (ILM) during surgery. The ILM may act as a scaffold for cellular proliferation or  
18 attachment of contractile tissue elements that may cause persistent VMT after vitrectomy.  
19 Thus, failure of the original vitrectomy surgery to close the macular hole or late reopening  
20 of initially successfully closed holes may occur without removal of the ILM.<sup>60</sup> On the other  
21 hand, loss of its structural role or secondary collateral nerve fiber layer loss during removal  
22 may be detrimental.<sup>60-62</sup> In a recent large meta-analysis of 5480 cases, Rahimy and  
23 McCannell concluded that ILM peeling at the time of surgery significantly reduces the  
24 likelihood of the hole reopening but without better postoperative best-corrected visual  
25 acuities.<sup>63</sup> (*I++*, *Good quality*, *Strong recommendation*)

26 Table 3 summarizes large case series and randomized controlled trials that compared  
27 macular hole closure rates following vitrectomies when the ILM was either peeled or not  
28 peeled. Margherio et al found little difference with ILM removal,<sup>64</sup> whereas Tognetto et al  
29 found statistical evidence that ILM peeling is associated with higher rates of macular hole  
30 closure.<sup>65</sup> Brooks et al reported an 18% difference in favor of ILM peeling with a  
31 statistically significant difference in visual acuity between the peeling and non-peeling  
32 groups.<sup>66</sup> Interestingly, they noted a rather high rate (25%) of macular holes reopening in  
33 the non-peeled eyes compared with no reopening in ILM peeled macular holes.<sup>66</sup> In their  
34 randomized controlled trials, Christensen et al and Lois et al reported a greater difference  
35 in hole closure rates in favor of ILM peeling.<sup>56,61</sup> However, these trials were small and  
36 subject to some potential biases.

37

TABLE 3 MACULAR HOLE SURGICAL OUTCOMES – NO PEEL VS. PEEL OF ILM

Study (Author, Year)	Study Design	ILM Peeled/Not	Follow-up length (months)	% Macular Holes Closed	P Value
Margherio et al, <sup>64</sup> 2000	Case Series	No peel; n=59 Perifoveal tissue dissection; n=48	Mean 12.8 months Mean 13.4 months	92% 86%	P = 0.39
Tognetto et al, <sup>65</sup> 2006	Case Series	No peel; n=527 Peel; n=1100	Median 15 months Median 15 months	89% 94%	P<0.001
Brooks, <sup>66</sup> 2000	Case Series	No peel; n=46 Peel; n=116	18 months or greater	82% no peel (25% reopened) 100% (no reopening)	P<0.0001
Christensen et al, <sup>61</sup> 2009	RCT	No peel; n=25 Peel with ICG; n=34 Peel with TB; n=18	At 3 months	44% 94% 89%	P<0.001
			At 12 months	96% 97% 100%	P=1.0
Lois et al, <sup>56</sup> 2011	RCT	No peel; n=70 (randomized) Peel; n=71 (randomized)	At 1 month	48% (31/64) 84% (56/67)	P<0.001
			At 3 months	83% (52/63) 92% (61/66)	P=0.097
			At 6 months	89% (56/63) 94% (61/65)	P=0.33
Rahimy et al, <sup>63</sup> 2016	SR	No peel; n=1756 Peel; n=3724	Mean 38.6 months Mean 26.2 months	92.88% 98.82%	P<0.0001
Kwok et al, <sup>67</sup> 2005	RCT	No peel; n=25 Peel; n=26	Mean 12 months Mean 12 months	32% 92%	P<0.001

1 ICG = indocyanine green; ILM = internal limiting membrane; TB = trypan blue

2  
3 A meta-analysis of 4 randomized control trials which included 317 patients with stage 2-4  
4 idiopathic full thickness macular holes by Spiteri et al found that ILM peeling achieves  
5 higher anatomical success with a reduced need for additional surgical interventions when  
6 compared with non-peeling in treating patients at stages 2, 3, and 4.<sup>68,69</sup> One study in 2016  
7 evaluated a small group of eyes that compared the extent of the ILM peel and evaluated  
8 outcomes. This study did show there was less metamorphopsia associated with wider ILM  
9 peel.<sup>70</sup> The use of inverted ILM flaps has been shown to be an effective technique for  
10 addressing idiopathic, myopic, and large macular holes, improving both functional and  
11 anatomical outcomes.<sup>71-73</sup> In general, it is thought that large, chronic, and myopic macular  
12 holes may benefit from ILM peeling, while small, recent macular holes may not need ILM  
13 peeling in all cases.<sup>74</sup>

14 A cost-effectiveness analysis was also performed alongside a RCT and concluded that ILM  
15 peeling is a cost-effective treatment for FTMH compared to no-peeling technique over a 6-  
16

1 month period and was based on the higher number of reoperations required in the no-peel  
2 arm of the trial.<sup>75</sup>

3 Indocyanine green (ICG), trypan blue (TP), brilliant blue (BB), and other dyes, as well as  
4 triamcinolone acetonide (TA), have been reported to optimize visualization of the ILM  
5 during surgery.<sup>76-80</sup> When ICG was used initially, reports of visual field defects and retinal  
6 pigment epithelium abnormalities in the foveal center raised concerns about possible  
7 toxicity.<sup>81,82</sup> Subsequent studies have suggested either a slight decrease in postoperative  
8 visual acuity using ICG compared with using no dye or no difference between the various  
9 dyes.<sup>77,78,80,82-88</sup> A meta-analysis concluded that there is no difference in the rate of macular  
10 hole closure between eyes with ILMs that were peeled without dye or with the use of ICG  
11 or BB.<sup>88</sup> The authors found a slight decrease in visual acuity outcomes using ICG for ILM  
12 peeling during the first postoperative year; however, there was no difference thereafter and  
13 no difference even during the first year when a concentration of  $\leq 0.05\%$  ICG was used.<sup>88</sup> A  
14 recent retrospective study of 351 patients found that the closure rate of ICG-assisted ILM  
15 peels (73.2%) was statistically lower than the closure rate using BB, but this closure rate is  
16 lower than that seen in most other studies of ILM peeling in FTMH.<sup>89</sup> One study compared  
17 cone electroretinograms after ILM peeling using BB, ICG, or TA and found no difference  
18 in visual acuity at 6 months among the groups but it found a decreased photopic negative  
19 response with ICG compared with the other agents, indicating possible subclinical  
20 impairments of the retinal ganglion cell layer.<sup>88</sup> However, a similar paper, reporting on 48  
21 eyes of 48 patients with a macular hole in which, the same three agents were used (16 per  
22 agent) found no differences in focal macular electroretinogram outcomes between agents; it  
23 concluded that none of the three agents is toxic to the macula.<sup>90</sup> Triamcinolone acetonide  
24 has been safely used to visualize residual vitreous to facilitate removal of the ILM with  
25 good results and low concerns for toxicity.<sup>76,80</sup>

26 Importantly, when the surgeon prefers ICG to stain the ILM, efforts should be made to  
27 avoid unnecessarily high concentrations of ICG or prolonged exposure. And, in summary,  
28 definitive recommendations about the use of specific dyes to peel the ILM in macular hole  
29 surgery simply do not exist in the literature. Unfortunately, there has not been a large  
30 randomized trial comparing dyes in ILM peeling.

### 31 Seal

32 Retinal tamponade may be created by intravitreal injection of different agents at the  
33 conclusion of macular hole surgery to achieve anatomic closure of the macular hole. In  
34 general, there is no consensus about the best choice of tamponade agent. Tamponade  
35 options include the use of air (lasting days), SF<sub>6</sub> (lasting about 2 weeks), C<sub>3</sub>F<sub>8</sub> (lasting  
36 about 6 weeks), or silicone oil (long term). Two early studies found that better results were  
37 achieved by using C<sub>3</sub>F<sub>8</sub> gas when compared with SF<sub>6</sub> gas.<sup>91,92</sup> A later study found no

1 difference in results when comparing the use of these two gases.<sup>93</sup> A recent study found a  
2 98% rate of closure using SF<sub>6</sub> gas.<sup>94</sup> High closure rates have been reported when air  
3 tamponade and ILM peeling are used,<sup>66</sup> though this may not apply to larger macular holes  
4 (>400 μm).<sup>95</sup>

5 Silicone oil may be used for patients who cannot position facedown.<sup>96,97</sup> In one study, 86%  
6 of 40 holes were closed using silicone oil,<sup>96</sup> however, these same investigators later  
7 concluded that the anatomic and visual results are better with gas tamponade.<sup>98</sup> Using  
8 silicone oil also requires a second operation to remove oil. Postoperative, patients may  
9 have ellipsoid zone loss in the area of the previous macular hole and may note distortions  
10 centrally, even after surgical repair.<sup>98</sup>

#### 11 Positioning

12 In the early days of macular hole surgery, patients were instructed to maintain a face-down  
13 position for 10 to 14 days postoperatively to optimize macular hole closure. Postoperative  
14 prone positioning is uncomfortable for the patient. In some cases, positioning may be  
15 extremely difficult or even lead to pressure sores or neuropathy.<sup>99-101</sup> Recent studies have  
16 reported excellent results using face-down positioning for 1 to 3 days.<sup>94,102,103</sup> Surgeons  
17 have reported closure rates with no face-down positioning that are similar to the rates seen  
18 in series requiring face-down positioning.<sup>104-110</sup> Longer positioning may be required for  
19 holes larger than 400 μm or those with inadequate gas fill.<sup>63,108</sup>

20 A small comparative study was published comparing facedown positioning to not being  
21 face down and found that differences in positioning had no effect on the macular hole  
22 closure rate.<sup>111</sup> In all of these studies, however, the patient was told to avoid the face-up or  
23 supine positioning. Specifically, recommendations were for an upright position that  
24 avoided the head tilting back.<sup>108,110</sup> Additionally, some advocates of this approach have  
25 emphasized the importance of a good postoperative gas fill to allow for tamponade of the  
26 macular hole without prone positioning.<sup>112</sup> Longer positioning may be required for holes  
27 larger than 400 μm or those with inadequate tamponade.<sup>63,108</sup> This minimizes the risk of  
28 cataract progression and provide some tamponade of the macular hole.

29 Some studies have monitored the timeline of macular hole closure by obtaining OCT  
30 imaging of the macula within days of vitrectomy surgery (through the gas-filled vitreous  
31 cavity) and used that information to curtail positioning.<sup>113-117</sup> In a recent meta-analysis of  
32 251 cases by Hu et al. concluded that no face down positioning was similar to face down  
33 positioning for holes smaller than 400μm, but face-down positioning may be beneficial for  
34 holes larger than 400μm.<sup>118</sup> (*I+*, *Good Quality, Discretionary Recommendation*)

#### 35 Outcomes of Surgery

36 Two multicenter, randomized, controlled trials provide evidence for the efficacy of surgery  
37 compared with observation for FTMH.<sup>39,43</sup> One study of 120 patients with stage 3 and stage

1 4 macular holes reported a benefit from vitrectomy surgery in the closure rate and visual  
2 acuity 6 months after randomization.<sup>43</sup> However, results with stage 2 macular holes did not  
3 demonstrate a similar benefit.<sup>39</sup> Nevertheless, the consensus of the vitreoretinal community  
4 is to recommend surgery for a stage 2 macular hole, not only because the visual acuity  
5 results are good with surgery but also to minimize further visual loss that accompanies  
6 progression to a stage 3 or stage 4 macular hole. However, observation with close follow-  
7 up is also recommended for early-stage macular holes. For a stage 1 macular hole, a  
8 randomized controlled study showed that 60% may not progress to an FTMH and that  
9 vitrectomy surgery did not prevent the progression of stage 1 macular holes.<sup>34</sup> With OCT  
10 imaging, the physician is able to monitor the progress of early-stage macular holes and  
11 make appropriate treatment recommendations.

12 Surgical studies have reported closure rates of 91% to 98% for FTMHs.<sup>83,94,102,110</sup> Most  
13 articles have reported that the median postoperative visual acuity of sealed macular holes is  
14 approximately 20/40,<sup>77,83,94,102,110,119-121</sup> clearly better than the visual acuity of untreated  
15 macular holes.<sup>14,17,24,40,41</sup> However, post-operatively patients may have elliptical zone loss  
16 in the area of the previous macular hole and may note some distortion in central vision  
17 even after successful surgical repair of the macular hole.

#### 18 Predictors of Visual Results

19 In case series, many authors have reported better closure rates and better final visual  
20 acuities when the duration of symptoms is less than 6 months.<sup>122-126</sup> Findings from case  
21 series indicate that a macular hole that has been present for more than 2 to 3 years may be  
22 closed, yet the success rate is lower (63%) and visual acuity outcomes are worse than for a  
23 macular hole of shorter duration.<sup>66,122,127-132</sup>

24 Patients whose macular holes fail to seal after the first surgery usually have a less favorable  
25 visual acuity outcome when compared with primary closure. Two studies have shown that  
26 up to 70% of the macular holes close following additional vitrectomy surgery but visual  
27 gain may be reduced. An improvement of only 1 line in visual acuity and an approximate  
28 visual acuity of 20/100 were reported.<sup>133,134</sup> On the other hand, patients whose macular  
29 holes closed following the initial surgery but then required additional vitrectomy for  
30 reopened hole did better than those who required additional surgery.<sup>133</sup>

#### 31 Complications of Vitrectomy

##### 32 *Cataract*

33 The vast majority of phakic eyes in adults develop cataracts after macular hole surgery.  
34 Clinically significant cataract develops in over 80% of phakic eyes within the first few  
35 years after vitrectomy.<sup>135,136</sup> One study found that the median time to cataract surgery  
36 after vitrectomy for a macular hole was 14 months and that 98% of eyes needed cataract

1 surgery when followed for a mean of 91 months after vitrectomy.<sup>137</sup> One study showed  
2 a high rate of 11% of closed macular holes reopening after cataract surgery and that the  
3 development of cystoid macular edema after surgery increased the risk by sevenfold.<sup>138</sup>  
4 Given the rate of cataract formation and risk of reopening of the macular hole, some  
5 surgeons advocate combining macular hole surgery with phacoemulsification and  
6 placement of an intraocular lens.<sup>104,138-140</sup> A combined procedure eliminates the need for  
7 two operations and may allow for a more complete gas fill.<sup>104,138,139</sup> The potential  
8 complications of combining cataract surgery with vitrectomy include hypotony,  
9 intraocular lens-iris capture, and possibly an increased risk of macular edema in some  
10 patients. Up to 10% of successfully closed macular holes later reopen, although the risk  
11 might be less when the ILM is peeled during the vitrectomy to close the hole.<sup>18,137,141-146</sup>

#### 12 *Retinal Tears*

13 Intraoperative retinal tears have been reported in 3% to 17% of macular hole operations,  
14 and most occur inferiorly.<sup>144,145,147-151</sup>

#### 15 *Retinal Detachment*

16 Although postoperative retinal detachment has been reported to be as high as 14% of  
17 cases, most series report an incidence of 1% to 5%.<sup>64,77,104,144,145,147-149,152</sup> The  
18 detachment is typically located inferiorly and caused by small flap tears at the posterior  
19 vitreous base. Fortunately, most detachments can be repaired without reopening of the  
20 hole.<sup>150</sup>

#### 21 *Visual Field Loss*

22 In the past, up to 20% of patients were noted to have a permanent temporal visual field  
23 loss after macular hole surgery.<sup>153-157</sup> Most ophthalmologists believe that this field loss  
24 is caused by either mechanical injury (such as trauma to the peripapillary retinal  
25 vasculature or nerve fiber layer<sup>156</sup>) or dehydration damage to the retina as a result of air  
26 streaming from the temporally placed infusion cannula during the air-fluid exchange.<sup>158</sup>  
27 It is unknown whether the following recommendations for the surgeon have reduced the  
28 incidence of visual field loss:

- 29 ◆ To minimize direct instrument contact with optic disc during air-fluid  
30 exchange
- 31 ◆ To minimize prolonged air flow at high pressure
- 32 ◆ To securely close the sclerotomies to minimize air flow through the eye during  
33 the air-fluid exchange

- 1                   ◆ To allow the valved cannulae to leave a puddle of fluid posteriorly until the
- 2                   final aspiration<sup>159</sup>
- 3                   ◆ To humidify the air<sup>160</sup>
- 4                   ◆ To use a low-infusion pressure during air-fluid exchange.<sup>161,162</sup>

5                   In addition, it is possible that the air flow through the vitreous cavity is decreased  
6                   in small-gauge vitrectomy, or by incorporating valved cannulae that decrease air  
7                   circulation.

#### 8                   *Endophthalmitis*

9                   Endophthalmitis has been reported in less than 0.05% of vitrectomies, including those  
10                  performed for macular holes.<sup>144,145</sup>

#### 11                  *Gas-Related Complications*

12                  Patients who have retinal tamponade achieved by an intravitreal injection of gas bubble  
13                  must take special precautions for the duration that the gas bubble is in the eye. This  
14                  includes avoiding air travel and driving or ascending to a higher altitude. Physicians  
15                  should also discuss the implications of travel to higher altitudes of more than 1000 feet  
16                  from the site of the operation. For example, driving to or ascending to a higher altitude  
17                  in some regions may result in gas expansion and increased IOP may result. Bubble  
18                  expansion at higher altitude causes increased IOP that could risk arterial occlusion,  
19                  wound dehiscence, gas leakage, or other IOP-related injury.<sup>163</sup> Care must also be taken  
20                  when traveling to lower elevations because reduction in bubble size may increase the  
21                  risk of ocular hypotony and postsurgical retinal detachment.<sup>164</sup> Intraocular gas also  
22                  limits the type of anesthetic agents that can be used. Most surgeons require their  
23                  patients to wear a wristband warning alert that states that the wearer’s eye contains  
24                  intraocular gas and that anesthetic (e.g., nitrous oxide) should be avoided. The use of  
25                  nitrous oxide in a patient with intraocular gas may result in a dangerous rise in IOP.<sup>164</sup>

#### 26                  Follow-up Evaluation after Surgery

27                  Patients who have surgery are usually examined the first 1 to 2 days post-operatively and  
28                  again approximately 1 to 2 weeks following surgery. The frequency and timing of  
29                  subsequent postoperative visits varies, depending on the outcome of surgery and the  
30                  patient’s symptoms. Components of the follow-up visit should include the following:

- 31                  ◆ Interval history, including new symptoms
- 32                  ◆ Visual acuity measurement
- 33                  ◆ Measurement of IOP



- 1           ◆ Slit-lamp biomicroscopy of the anterior chamber and central retina, and indirect binocular
- 2           ophthalmoscopy of the peripheral retina
- 3           ◆ OCT to document the postoperative macular anatomy when indicated

#### 4 5           Vitreopharmacolysis

##### 6           *Ocriplasmin*

7           Ocriplasmin is a recombinant protease that was approved by the FDA in 2012 for the  
8           management of symptomatic VMA. Ocriplasmin is a recombinant protease that cleaves  
9           proteins that compose the vitreoretinal interface. Approval by the FDA was based on  
10          the results of a randomized study.<sup>165</sup> The study’s inclusion criteria encompassed all eyes  
11          with vitreous traction on the macula, including a subset of eyes with stage 2 macular  
12          holes. In this subset, the closure rate of macular holes was 40% when the protease was  
13          used compared with 10% when the macular holes were injected with an intravitreal  
14          saline placebo.<sup>165</sup> In the post-marketing Macula Society Collaborative Study on  
15          physician reported outcomes of ocriplasmin use in 208 eyes, VMA release was  
16          confirmed in 45% with closure of the FTMH in 40% of eyes without PPV; however,  
17          visual acuity decreased in 20%, and adverse events were not infrequent.<sup>166</sup>  
18          Additionally, a 2018 post-market analysis revealed a lower macular hole closure  
19          success rate (32.2% at months 10-12) compared to the original study.<sup>167 168</sup> In contrast,  
20          on average, stage 2 macular holes have a 90% chance of closure when vitrectomy  
21          techniques are used.<sup>94,102,103,108</sup> To date, there have been very few head-to-head studies  
22          comparing the use of ocriplasmin with PPV. In cases of holes larger than 400 µm, in the  
23          absence of evident VMT, or in the presence of epiretinal membrane, vitrectomy is the  
24          first choice.<sup>169</sup> Further, there is no data to support the use of ocriplasmin for  
25          management of macular hole without VMA, and this would be considered an off-label  
26          use of the medication. A 2018 post-market analysis revealed a lower macular hole  
27          closure success rate (32.2% at months 10-12) compared with the original study.<sup>167,168</sup>

##### 28          *Complications of Ocriplasmin*

29          Postmarket concerns have been raised about the safety of ocriplasmin. Acute vision  
30          loss, electroretinographic abnormalities, macular detachment, and dyschromatopsia  
31          have been described.<sup>165</sup>

32          A 2017 study was published reporting on the use of ocriplasmin in the United Kingdom  
33          and concluded that macular hole closure rates were lower than published in the Trial of  
34          Microplasmin Intravitreal Injection for Non-surgical Treatment of Focal Vitreomacular  
35          Adhesion (MIVI-TRUST) trial data (42.1% vs. 58.3% for small FTMH and 12.7% vs.  
36          36.7% for medium FTMH). The incidence of adverse events was also greater than

1 previously reported.<sup>168,170</sup> The benefits and risks associated with vitrectomy surgery  
2 versus intravitreal ocriplasmin require continued investigation.

3 The Ocriplasmin for Treatment for Symptomatic Vitreomacular Adhesion Including  
4 Macular Hole (OASIS) trial was designed by the drug manufacturer to evaluate the  
5 long-term efficacy and safety profile of ocriplasmin for the treatment of symptomatic  
6 VMA/VMT, including FTMH.<sup>171</sup> This trial demonstrated the long-term efficacy and  
7 safety of ocriplasmin, providing improved resolution of symptomatic VMA compared  
8 with previous phase III trials.

9 In cases of treatment failure with ocriplasmin, patients may ultimately undergo PPV.  
10 The Vitrectomy After Ocriplasmin for Vitreomacular Adhesion or Macular Hole  
11 (VAVOOM) study was a multicenter retrospective study of eyes that received  
12 intravitreal ocriplasmin between January 2013 and January 2014 for symptomatic  
13 VMT, with or without macular hole, and then went on to PPV (ocriplasmin-treated  
14 group) for persistent pathology. They were compared with a control group of patients  
15 with symptomatic VMT, with or without macular hole, who were offered ocriplasmin  
16 injection but proceeded directly to PPV (PPV-only group).<sup>172</sup> Although visual acuity  
17 was better at all times in the PPV-only group, the authors concluded that eyes with  
18 persistent symptomatic VMT and/or macular hole have similarly high rates of  
19 pathology resolution as well as similar visual acuity gains after vitrectomy and  
20 regardless of whether they received prior ocriplasmin.

21 The data from the 2018 American Society of Retinal Specialists Preferences and Trends  
22 survey based on VMT with macular hole from 1022 respondents revealed the  
23 following: For patients with VMT, small macular hole, and 20/50 vision, the treatment  
24 of choice was ocriplasmin; 7.4% US (5.3% international) vitrectomy: 70.4% US (72.4%  
25 international) and pneumatic vitreolysis: 9.6% US (10.2% international)

26 The reported complications associated with ocriplasmin are as follows:

- 27 ◆ Retinal tears
- 28 ◆ Floaters (usually due to progression of the posterior vitreous detachment)
- 29 ◆ Blue-yellow vision, dyschromatopsia or dark vision
- 30 ◆ Photopsias
- 31 ◆ Visual field abnormalities
- 32 ◆ Electroretinography changes
- 33 ◆ Weakening of zonular fibers and possible lens subluxation<sup>51</sup>

## 1 PROVIDER AND SETTING

2 Diagnosis and management of macular hole requires expertise, skills, and specialized equipment to  
3 detect alterations in the retina and then select, perform, and/or monitor the appropriate treatment  
4 regimen. Referral to an ophthalmologist who has expertise and experience in managing this condition  
5 is recommended (i.e. a fellowship-trained vitreo-retinal surgeon). The performance of certain  
6 diagnostic procedures is often delegated to appropriately trained and supervised personnel. However,  
7 the interpretation of the results of the diagnostic procedures, as well as the medical and surgical  
8 management of a macular hole, require medical training, clinical judgment, and experience.

## 9 COUNSELING AND REFERRAL

10 Patients should be informed to notify their ophthalmologist promptly when they have new visual  
11 symptoms such as an increase in floaters, a loss of visual field, metamorphopsia, or a decrease in  
12 visual acuity.<sup>173-175</sup> The goal of vision rehabilitation is to restore functional ability.<sup>176</sup> Patients with  
13 function-limiting postoperative visual impairment should be referred for vision rehabilitation and  
14 social services.<sup>162,177</sup> More information on vision rehabilitation, including materials for patients, is  
15 available at [www.aao.org/smart-sight-low-vision](http://www.aao.org/smart-sight-low-vision).

## 16 SOCIOECONOMIC CONSIDERATIONS

17 The economic considerations related to treatment and management of idiopathic macular hole have  
18 not been comprehensively studied. Measures of patient satisfaction after surgery correlate with the  
19 visual and anatomic results.<sup>178-180</sup> Vision-related quality of life, assessed by the National Eye Institute  
20 Visual Function Questionnaire 25, has been reported to improve following surgery for idiopathic  
21 macular hole.<sup>56,178</sup> Research has shown that PPV was the most cost-effective procedure relative to  
22 intravitreal injection of either ocriplasmin or saline.<sup>181</sup>

## APPENDIX 1. QUALITY OF OPHTHALMIC CARE CORE CRITERIA

*Providing quality care  
is the physician's foremost ethical obligation, and is  
the basis of public trust in physicians.  
AMA Board of Trustees, 1986*

Quality ophthalmic care is provided in a manner and with the skill that is consistent with the best interests of the patient. The discussion that follows characterizes the core elements of such care.

The ophthalmologist is first and foremost a physician. As such, the ophthalmologist demonstrates compassion and concern for the individual, and utilizes the science and art of medicine to help alleviate patient fear and suffering. The ophthalmologist strives to develop and maintain clinical skills at the highest feasible level, consistent with the needs of patients, through training and continuing education. The ophthalmologist evaluates those skills and medical knowledge in relation to the needs of the patient and responds accordingly. The ophthalmologist also ensures that needy patients receive necessary care directly or through referral to appropriate persons and facilities that will provide such care, and he or she supports activities that promote health and prevent disease and disability.

The ophthalmologist recognizes that disease places patients in a disadvantaged, dependent state. The ophthalmologist respects the dignity and integrity of his or her patients and does not exploit their vulnerability.

Quality ophthalmic care has the following optimal attributes, among others.

- ◆ The essence of quality care is a meaningful partnership relationship between patient and physician. The ophthalmologist strives to communicate effectively with his or her patients, listening carefully to their needs and concerns. In turn, the ophthalmologist educates his or her patients about the nature and prognosis of their condition and about proper and appropriate therapeutic modalities. This is to ensure their meaningful participation (appropriate to their unique physical, intellectual, and emotional state) in decisions affecting their management and care, to improve their motivation and compliance with the agreed plan of treatment, and to help alleviate their fears and concerns.
- ◆ The ophthalmologist uses his or her best judgment in choosing and timing appropriate diagnostic and therapeutic modalities as well as the frequency of evaluation and follow-up, with due regard to the urgency and nature of the patient's condition and unique needs and desires.
- ◆ The ophthalmologist carries out only those procedures for which he or she is adequately trained, experienced, and competent, or, when necessary, is assisted by someone who is, depending on the urgency of the problem and availability and accessibility of alternative providers.
- ◆ Patients are assured access to, and continuity of, needed and appropriate ophthalmic care, which can be described as follows.
  - ◆ The ophthalmologist treats patients with due regard to timeliness, appropriateness, and his or her own ability to provide such care.
  - ◆ The operating ophthalmologist makes adequate provision for appropriate pre- and postoperative patient care.
  - ◆ When the ophthalmologist is unavailable for his or her patient, he or she provides appropriate alternate ophthalmic care, with adequate mechanisms for informing patients of the existence of such care and procedures for obtaining it.
  - ◆ The ophthalmologist refers patients to other ophthalmologists and eye care providers based on the timeliness and appropriateness of such referral, the patient's needs, the competence and qualifications of the person to whom the referral is made, and access and availability.

- ◆ The ophthalmologist seeks appropriate consultation with due regard to the nature of the ocular or other medical or surgical problem. Consultants are suggested for their skill, competence, and accessibility. They receive as complete and accurate an accounting of the problem as necessary to provide efficient and effective advice or intervention, and in turn they respond in an adequate and timely manner. The ophthalmologist maintains complete and accurate medical records.
- ◆ On appropriate request, the ophthalmologist provides a full and accurate rendering of the patient's records in his or her possession.
- ◆ The ophthalmologist reviews the results of consultations and laboratory tests in a timely and effective manner and takes appropriate actions.
- ◆ The ophthalmologist and those who assist in providing care identify themselves and their profession.
- ◆ For patients whose conditions fail to respond to treatment and for whom further treatment is unavailable, the ophthalmologist provides proper professional support, counseling, rehabilitative and social services, and referral as appropriate and accessible.
- ◆ Prior to therapeutic or invasive diagnostic procedures, the ophthalmologist becomes appropriately conversant with the patient's condition by collecting pertinent historical information and performing relevant preoperative examinations. Additionally, he or she enables the patient to reach a fully informed decision by providing an accurate and truthful explanation of the diagnosis; the nature, purpose, risks, benefits, and probability of success of the proposed treatment and of alternative treatment; and the risks and benefits of no treatment.
- ◆ The ophthalmologist adopts new technology (e.g., drugs, devices, surgical techniques) in judicious fashion, appropriate to the cost and potential benefit relative to existing alternatives and to its demonstrated safety and efficacy.
- ◆ The ophthalmologist enhances the quality of care he or she provides by periodically reviewing and assessing his or her personal performance in relation to established standards, and by revising or altering his or her practices and techniques appropriately.
- ◆ The ophthalmologist improves ophthalmic care by communicating to colleagues, through appropriate professional channels, knowledge gained through clinical research and practice. This includes alerting colleagues of instances of unusual or unexpected rates of complications and problems related to new drugs, devices, or procedures.
- ◆ The ophthalmologist provides care in suitably staffed and equipped facilities adequate to deal with potential ocular and systemic complications requiring immediate attention.
- ◆ The ophthalmologist also provides ophthalmic care in a manner that is cost effective without unacceptably compromising accepted standards of quality.

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2<sup>nd</sup> Printing: January 1991

3<sup>rd</sup> Printing: August 2001

4<sup>th</sup> Printing: July 2005

## LITERATURE SEARCHES FOR THIS PPP

Literature searches of the PubMed and Cochrane databases were conducted in April 2018; the search strategies are provided at [www.aao.org/ppp](http://www.aao.org/ppp). Specific limited update searches were conducted after June 2019.

(Retinal Perforations/epidemiology[mh]) AND (macular hole\*[tiab])

(Retinal Perforations[mh]) AND (Risk Factors[mh]) AND (macular hole\*[tiab])

(Retinal Perforations[mh]) AND (Cost-Benefit Analysis[mh]) OR (Cost of Illness[mh]) AND (macular hole\*[tiab])

(Retinal Perforations[mh]) AND (Quality of Life[mh]) AND (macular hole\*[tiab])

(Retinal Perforations/surgery[mh] OR Retinal Perforations/therapy[mh]) AND (macular hole\*[tiab])

(Retinal Perforations/etiology[MAJR]) AND (macular hole\*[tiab])

(Retinal Perforations[mh]) AND (macular hole\*[tiab])

(macular hole\*[tiab]) AND ((review\*[tiab] AND (literature[tiab] OR systematic[tiab] OR search\*[tiab])) OR meta-analysis[tiab])

(Retinal Perforations/diagnosis[MAJR]) AND (macular hole\*[tiab])

(Retinal Perforations[mh]) AND (Treatment Outcome[mh]) AND (macular hole\*[tiab])

(Retinal Perforations[mh]) AND (Postoperative Complications[mh]) AND (macular hole\*[tiab])

(Retinal Perforations/surgery[mh] OR Retinal Perforations/therapy[mh]) AND (macular hole\*[tiab])

## RELATED ACADEMY MATERIALS

### **Basic and Clinical Science Course**

Retina and Vitreous (Section 12, 2019–2020)

### **Focal Points**

Diagnosis and Management of Macular Holes (2015)

### **Ophthalmic Technology Assessment –**

**Published in *Ophthalmology*, which is distributed free to Academy members; links to full text available at [www.aao.org/ota](http://www.aao.org/ota).**

Laser Scanning and Imaging for Macular Disease OTA (2007)

Surgical Management of Macular Holes (2001; reviewed for currency 2012)

### **Patient Education**

Face-Down Recovery After Retinal Surgery Brochure (2014)

Macular Hole Brochure (2014)

Retina Informed Consent Video Collection (2013)

### **Preferred Practice Pattern® Guidelines – Free download available at [www.aao.org/ppp](http://www.aao.org/ppp).**

Comprehensive Adult Medical Eye Evaluation (2015)

To order any of these products, except for the free materials, please contact the Academy’s Customer Service at 866.561.8558 (U.S. only) or 415.561.8540 or [www.aao.org/store](http://www.aao.org/store).

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